

# INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 5: C12N 15/00, 15/11, C07K 7/10 C12N 1/21, 5/10

A1

(11) International Publication Number:

WO 92/07071

(43) International Publication Date:

30 April 1992 (30.04.92)

(21) International Application Number:

PCT/US91/07492

(22) International Filing Date:

11 October 1991 (11.10.91)

(30) Priority data:

599,730

19 October 1990 (19,10,90)

US

(60) Parent Application or Grant

(63) Related by Continuation

Filed on

599,730 (CIP) 19 October 1990 (19.10.90)

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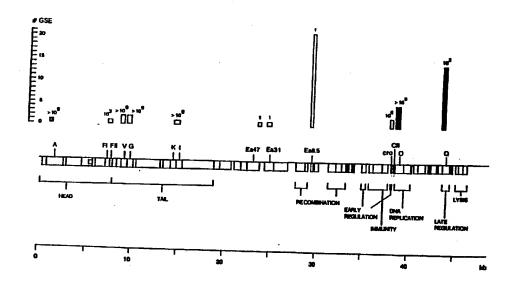
(81) Designated States: AT (European patent), AU, BE (European patent), CA, CH (European patent), DE (European patent), DK (European patent), ES (European patent), FR (European patent), GB (European patent), GR (European patent), IT (European patent), JP, KR, LU (European patent), NL (European patent), NO, SE (European patent), IIS ropean patent), US.

#### Published

With international search report.

Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.

(54) Title: METHODS AND APPLICATIONS FOR EFFICIENT GENETIC SUPPRESSOR ELEMENTS



#### (57) Abstract

Methods for isolating and identifying genetic elements that are capable of inhibiting gene function are disclosed, as well as genetic elements isolated or identified according to the method of the invention and host cells modified by genetic modification using genetic suppressor elements according to the invention.

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# METHODS AND APPLICATIONS FOR EFFICIENT GENETIC SUPPRESSOR ELEMENTS

### BACKGROUND OF THE INVENTION

#### Field of the Invention

The invention relates to means for suppressing specific gene function in eukaryotic or prokaryotic cells. More particularly the invention relates to the use of expression of DNA sequences, known as genetic suppressor elements, for the purpose of suppressing specific gene function. The invention provides methods for obtaining such genetic suppressor elements, the genetic suppressor elements themselves, and methods for obtaining living cells which bear a gene suppression phenotype.

#### Summary of the Related Art

Functional inactivation of genes through the expression of specific genetic elements comprising all or a part of the gene to be inactivated is known in the art. At least four mechanisms exist by which expression of such specific genetic elements can result in inactivation of their corresponding gene. These are interference with protein function by polypeptides comprising nonfunctional or partly nonfunctional analogs of the protein to be inhibited or a portion thereof, interference with mRNA translation by complementary anti-sense RNA or DNA, destruction of mRNA by anti-sense RNA coupled with ribozymes, and interference with mRNA by RNA sequences homologous to a portion of the mRNA representing an important regulatory sequence.

Herskowitz, Nature 329: 219-222 (1987), reviews the inactivation of genes by interference at the protein level, which is achieved through the expression of specific genetic elements encoding a polypeptide comprising both intact, functional domains of the wild type protein as well as nonfunctional domains of the same wild type protein. Such peptides are known as dominant negative mutant proteins.

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Friedman et al., Nature 335: 452-454 (1988), discloses the use of dominant negative mutants derived from HSV-1 VP16 protein by 3' truncation of the VP16 coding sequence to produce cells resistant to herpesvirus infection. Baltimore, Nature 335: 395-396 (1988), suggests that the method might be applicable as a therapeutic means for treatment of HIV-infected individuals.

Green et al., Cell <u>58</u>: 215-223 (1989), discloses inhibition of gene expression driven by an HIV LTR, through the use of dominant negative mutants derived from the HIV-1 Tat protein sequence, using chemical peptide synthesis.

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Rimsky et al., Nature 341: 453-456 (1989), discloses inhibition of HTLV-1 and HIV-1 gene expression in an artificial plasmid system, using dominant negative mutants derived from the HTLV-1 Rex transactivator protein by oligonucleotide-mediated mutagenesis of the rex gene.

Trono et al., Cell <u>59</u>: 113-120 (1989), demonstrates inhibition of HIV-1 replication in a cell culture system, using dominant negative mutants derived from the HIV-1 Gag protein by linker insertional and deletional mutagenesis of the <u>gag</u> gene.

Ransone et al., Proc. Natl. Acad. Sci. USA 87: 3806-3810 (1990), discloses suppression of DNA binding by the cellular Fos-Jun protein complex and suppression of Jun-mediated transcriptional transactivation, using dominant negative mutants derived from Fax and Jun proteins by oligonucleotide-directed substitutional or deletional mutagenesis of the <u>fos</u> and <u>jun</u> genes.

Whitaker-Dowling et al., Virology <u>175</u>: 358-364 (1990), discloses a cold-adapted strain of influenza A virus which interferes with production of wild-type influenza A virus in mixed infections, apparently by a dominant negative mutant protein mechanism.

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Lee et al., J. Bacteriol. <u>171</u>: 3002-3007 (1989), discloses a genetic system for isolation of dominant negative mutations of the beta subunit of <u>E</u>. <u>coli</u> RNA polymerase obtained by hydroxylamine mutagenesis of the <u>rpoB</u> gene.

Chejanovsky et al., J. Virol. <u>64</u>: 1764-1770 (1990), discloses inhibition of adeno-associated virus (AAV) replication by a dominant negative mutant protein derived from the AAV Rep protein by oligonucleotide-directed substitutional mutagenesis of the <u>rep</u> gene at a position encoding an amino acid known to be critical to Rep protein function.

Suppression of specific gene function by interference at the RNA level, using complementary RNA or DNA sequences, is also known in the art. van der Krol et al., BioTechniques 6: 958-976 (1988), reviews the use of such "antisense" genes or nucleotide sequences in the inhibition of gene function in insect, bird, mammalian, plant, protozoal, amphibian and bacterial cells.

Ch'ng et al., Proc. Natl. Acad. Sci. USA <u>86</u>: 10006-10010 (1989) discloses that antisense RNA complementary to the 3' coding and non-coding sequences of the creatine kinase gene inhibited <u>in vivo</u> translation of creatine kinase mRNA when expressed from a retrovirus vector, whereas all antisense RNAs complementary to creatine kinase mRNA, but without the last 17 codons or 3' non-coding sequences, were not inhibitory.

Daugherty et al., Gene Anal. Techn. <u>6</u>: 1-16 (1989) discloses that, for antisense RNA suppression of beta galactosidase ( $\beta$ -gal) gene function in <u>F</u>. <u>coli</u>, best suppression is achieved using plasmids containing a ribosome binding site and expressing short RNA sequences corresponding to the 5' end of the  $\beta$ -gal gene.

Powell et al., Proc. Natl. Acad. Sci. USA <u>86</u>: 6949-35 6952 (1989), discloses protection of transgenic plants from tobacco mosaic virus (TMV) when the plants expressed sequences complementary to replicase binding sites, but not when they expressed sequences complementary only to TMV coat protein.

Sarver et al., Science <u>247</u>: 1222-1225 (1990), discloses the use of antisense RNA-ribozyme conjugates to degrade specific mRNA by complementary RNA binding followed by ribozyme cleavage of the bound mRNA.

Kerr et al., Eur. J. Biochem. <u>175</u>: 65-73 (1988), reports that even full length antisense RNA is not necessarily sufficient to inhibit gene expression.

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Inhibition of gene function can also be accomplished by expressing subregions of RNA which is homologous to, rather than complementary to, important regulatory sequences on the mRNA molecule, and which can likely compete with the mRNA for binding regulatory elements important to expression.

Bunnell et al., Somat. Cell Mol. Genet. 16: 151-162 (1990), discloses inhibition of galactosyltransferase-associated (GTA) protein expression by transcription of an RNA which is homologous to AU-rich elements (AREs) in the 3' untranslated region of the gta gene, which are believed to be important regulatory sequences.

Although gene suppression is quite useful for scientific studies of gene function and considerable promise for certain applications in disease therapy and genetic modification of plants and animals, current methods for identifying effective suppressor elements (GSEs) are time consuming arduous. Interference by dominant negative mutant proteins, for example, either requires extensive knowledge about the functional domain structure of the protein so that reasonably promising candidate mutant proteins can be prepared, or necessitates individual preparation and screening of numerous candidate mutant proteins. Antisense RNA and competitive homologous RNA similarly require extensive individual preparation and

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screening of candidate inhibitory sequences, absent considerable knowledge about important specific sequences within the RNA. There is, therefore, a need for generalized methods for identifying and isolating GSEs which will allow simplified determination of effective elements without undue experimentation or extensive structure/function knowledge. An ideal method would allow simultaneous analysis of multiple possible candidate GSEs, regardless of their mechanism of action.

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## BRIEF SUMMARY OF THE INVENTION

The invention relates to the suppression of specific gene function in eukaryotic or prokaryotic cells. particularly, the invention relates to nucleotide sequences which are capable of suppressing gene function when expressed in a living cell. These nucleotide sequences are known as genetic suppressor elements. Existing methods of suppressing gene function in living cells require considerable information about structure and function of the gene products, i.e., specific RNA sequences or specific protein domains. Alternatively, existing methods of suppressing gene function can be applied in the absence of detailed structure/function information, but at the expense of the considerable time and effort required to produce many individual mutant proteins or many complementary or homologous RNA or DNA sequences. In contrast, the invention provides a general method for obtaining effective genetic suppressor elements (GSEs) for cloned genes or viruses, without extensive structure/function information, and in a simple selection or screening procedure.

The invention is made possible by two discoveries. First, the inventors have discovered that small peptide fragments, corresponding to only a minute portion of a protein, can inhibit the function of that protein in vivo, even without mutation of the fragments. Second, the inventors have demonstrated that certain random small fragments of DNA, derived from a particular gene or virus, are capable of inhibiting that particular gene or virus in vivo, when they are expressed in a living cell, and that these fragments can be isolated by functional selection for suppression of the gene or virus.

In the method of the invention for obtaining GSEs, randomly fragmented DNA, corresponding to DNA sequences from a gene or virus to be inactivated, is transferred

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into an expression library capable of expressing the random fragments of DNA in a living cell. Desired living cells are then genetically modified by introducing into them the GSE expression library by standard procedures, and cells containing GSEs are isolated or enriched for by selecting or screening for gene suppression. then obtained from the living cells exhibiting the gene suppression phenotype.

GSEs obtained by the method of the invention may be used to genetically modify cells by introducing the GSE into the cell such that it can be expressed and suppress gene function in the genetically modified cell. Alternatively, for some cell types it will be possible to obtain genetically modified cells bearing a gene suppression phenotype as a result of introduction of the GSE library, without ever having to first isolate the GSE.

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Genetically modified cells according invention can provide benefits, such as virus resistance, which can be commercially important in biotechnology processes using living cells, as well as in food crops derived from virus-resistant cells, even agriculturally important transgenic animals. addition, improved agricultural plants and animals can be produced from genetic modification by suppression of 25 genes responsible for undesirable properties, e.g., cross-pollination of inbred plants. Finally, genetic modification according to the invention may be useful for human therapeutic applications, such as antiviral therapy.

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## BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1 shows the distribution of GSEs in the lambda genome. Only the genes whose sequences were found in GSEs are indicated in the genetic map of lambda. Open bars indicate sense-oriented GSEs. Hatched bars indicate antisense-oriented GSEs. The height of the bars corresponds to the number of sequenced GSE clones for each class. The numbers on top of the bars indicate the extent of suppression of prophage induction by a representative clone of each class.

Figure 2 shows the distribution of the oop/ori class GSEs the corresponding lambda and resistance phenotypes. Arrows indicate the direction transcription. The map position of the antisense oop transcript is according to Krinke and Wulff, Genes Dev. 1: 1005 (1988). The four top clones were obtained by GSE selection. The two bottom clones were constructed by PCR synthesis using the corresponding primers.

Figure 3 shows the nucleotide sequence of GSEs derived from human Topoisomerase II, as described in Example 6: A is Seq ID No:1; B is Seq ID No:2; C is Seq ID No:3; D is Seq ID No:4; E is Seq ID No:5; F is Seq ID No:6; G is Seq ID No:7; H is Seq ID No:8; I is Seq ID No:9; J is Seq ID No:10.

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# DETAILED DESCRIPTION OF THE SPECIFIC EMBODIMENTS

Suppressing the function of specific genes by modifying cells to express gene-specific inhibitory substances is an important approach to various goals in biotechnology and medicine. One of these goals is inhibition of replication of pathogenic viruses in genetically modified cells.

Other suppression targets include, for example, genes associated with tumorigenicity (oncogenes) as well as genes responsible for some undesired properties of agricultural plants or animals. Specific suppression of gene requires target expression of specially constructed genetic elements that generally include modified DNA sequences derived from the target gene. one of the currently used approaches to gene suppression, all or a portion of cDNA of the target gene is inserted in a reverse orientation into an expression vector carrying a strong transcription promoter, so that antisense RNA is transcribed. Such antisense RNA can inhibit the function of the target mRNA molecules. Certain genes may also be functionally suppressed by expression of RNA sequences homologous to regulatory sequences in the mRNA. In another, more recent approach, mRNA sequences in an antisense orientation are combined with specific enzymatically active RNA sequences called ribozymes, which are capable of cleaving a target mRNA molecule. Another way to suppress gene expression is to use a mutant form of the target protein that can act in a dominant negative fashion by interfering with the function of the wild-type (normal) form of the same protein.

Although approaches to suppressing genes are thus known in the art, there are no general principles which provide guidance about how to derive DNA elements which can efficiently suppress gene function (genetic suppressor elements, or GSEs) without extensive

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structure/function information about the RNA or protein, or without undue experimentation. The present invention provides a general method for obtaining GSEs. The method of the invention requires only the availability of genomic DNA, total cellular RNA, or of a cloned gene or DNA from a pathogenic virus or intracellularly parasitic microorganism targeted for suppression and the knowledge of a selectable phenotype associated with inactivation of the target gene. This method does not depend on any knowledge of the structure/function organization of the protein encoded by the target gene or the genetic structure of the target virus or microorganism.

In a first aspect, the invention provides a convenient, general method for obtaining GSEs. method, purified DNA corresponding to the gene or genome to be suppressed is first randomly fragmented by enzymatic, chemical, or physical procedures. preferred embodiment, random fragments of DNA produced by treating the DNA with a nuclease, such as The random DNA fragments are incorporated as inserts in a gene suppression element library, using an expression vector which is capable of expressing the inserted fragments in the cell type in which gene suppression is desired. For general principles of DNase digestion and I partial library construction Molecular Cloning, A Laboratory Manual, Sambrook et al., Eds., Cold Spring Harbor Laboratory, Cold Spring Harbor, New York (1989). In certain embodiments the inserted fragment may be expressed as part of a fusion protein. In other embodiments the inserted fragment alone may be expressed. In another embodiment, ribozyme-encoding sequences may be inserted directly adjacent to the insert to allow for selection of most efficient ribozyme-In still other embodiments the gene antisense clones. suppression element library may be further modified by random mutagenesis procedures known in the art. The

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inserted fragments may be expressed from either a constitutive or an inducible promoter.

The GSE library is next used to genetically modify living cells of the type in which gene suppression is desired, by introducing the library into the cells by procedures well known in the art, e.g., bacterial or yeast transformation, or transfection of plant or mammalian cells. See, e.g., Keown et al., Methods Enzymol. 185: 527-536 (1990). Of particular interest in mammalian cells is the use of retroviral vectors such as 10 LNCX (Miller and Rosman, Biotechniqes 7:980-986 (1989)); lambda ZD35, Murphy and Efstatiadis, Proc. Natl. Acad. Sci. USA 84: 8277-8281; or derivatives of convenient existing vectors, such as lambda Zap II™ (Stratagene, 15 LaJolla, CA) that have had inserted sequences that allow retrovirus gene expression. The genetically modified cells containing effective GSEs can be screened for or selected in a variety of ways. For example, when the suppression is directed against a cytolytic virus, cells containing effective GSEs may be selected on the basis of 20 ,cell survival upon virus infection and development of cytopathic effect. In another embodiment, suppression is directed against a non-cytolytic virus or against a gene encoding a cell surface antigen. In this embodiment, selection is against the presence of the viral or cell 25 surface antigens. This is accomplished by reacting the genetically modified cells with specific antibodies against the viral or cell surface antigens. "Unsuppressed" cells may then be eliminated by the addition of complement, or may be separated from 30 "suppressed" cells by addition of fluorescent secondary antibody against the primary antibody, followed by fluorescence-activated cell sorting. For a general description of immunological selection and screening techniques see Davis et al., Microbiology, Harper and 35 Row, Philadelphia, PA. (1980). In another embodiment,

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suppression is directed against genes that must be expressed in order for cells to grow under specific procedures. In this embodiment, cells containing effective GSEs can be selected by "suicide selection" procedures that select for cells which cannot grow in the selective medium. See Patterson et al., Methods Enzymol. 151: 121 (1982).

In yet another embodiment, suppression is directed against growth-suppressing genes, such as tumor suppressors. In this embodiment, cells containing effective GSEs may be screened on the basis of morphological transformation of cell colonies.

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The GSE is finally obtained from the selected cells by procedures known in the art. In one embodiment, the GSE is isolated by use of the polymerase chain reaction with DNA obtained from the selected cells and with primers homologous to sites on the vector flanking the insert. In another embodiment, the GSE expression library may be prepared in shuttle vectors, allowing efficient recovery of shuttle vectors containing GSEs (See, e.g., Groger et al., Gene 81: 285-294 (1989); Rio et al., Science 227: 23-28 (1985) for examples of shuttle vectors). Of course, in bacteria simple plasmid isolation procedures can be employed directly on the bacterial clone expressing the genetically suppressed phenotype. Finally, GSEs can be isolated by standard cloning techniques well known in the art using vector specific probes although this might be more laborious than other embodiments herein described.

In a second aspect, the invention provides GSEs which are most likely more effective than existing GSEs, since GSEs obtained according to the method of the invention may be selected from a very large number of possible DNA sequences, whereas existing GSEs have been the result of trial and error analysis of only a few designs. GSEs obtained according to the methods of the

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invention may operate according to principles different from those behind existing gene suppression methods, since it is the gene suppression phenotype, and not the mechanism, which is selected. GSEs obtained according to the methods of the invention are useful for the genetic modification of living cells for scientific studies, for biotechnology processes, for agricultural purposes and for human and animal therapeutic purposes. In addition, oligonucleotide or oligopeptide GSEs can be readily prepared which correspond to the nucleotide or amino acid sequence of the GSE obtained according to the method of These oligonucleotides, which may be the invention. standard oligonucleotides, standard oligodeoxynucleotides or chemically modified derivatives of oligonucleotides or oligodeoxynucleotides, will be capable of inhibiting specific gene function, by virtue of homology to the identified GSE. Such oligonucleotide inhibitors will be particularly useful for pharmaceutical purposes.

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third aspect, the invention provides genetically modified living cells that contain effective GSEs, whereby in such cells particular genes are suppressed by the expression of the GSEs. In a preferred embodiment, such genetically modified cells are produced by introducing into the cell, by standard procedures, an expression vector containing a specific GSE obtained by the method of the invention and capable of expressing the GSE in the cell. In another embodiment the genetically modified cell is obtained directly from selection of cells into which the GSE library has been introduced, without any previous isolation of the GSE contained in the genetically modified cell.

In a fourth aspect, the invention provides a convenient method for discovering GSE, associated with a particular phenotype, rather than with a particular known gene. In this aspect, the method provides GSEs corresponding to recessive genes that, when inactivated,

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confer a selectable or screenable phenotype upon a cell having such inactive genes. This method uses a random fragment expression system as previously described. However, the starting material is different. GSEs in this case are isolated from random fragment expression libraries prepared from either genomic DNA or total cellular cDNA. When used to obtain bacterial or lower eukaryotic GSEs, genomic DNA is preferred, for reasons of convenience. In contrast, cDNA is preferred for GSEs from higher eukaryotes, due to its lower complexity.

In a fifth aspect, the invention provides synthetic peptides and oligonucleotides that are capable of inhibiting the function of particular gene products. Synthetic peptides according to the invention have amino acid sequences that correspond to amino acid sequences encoded by GSEs according to the invention. Synthetic oligonucleotides according to the invention nucleotide sequences corresponding to the nucleotide sequences of GSEs according to the invention. Once a GSE is discovered and sequenced, and its orientation is determined, it is straightforward to prepare oligonucleotide corresponding to the sequence of the GSE (for antisense-oriented GSEs) or to prepare a peptide corresponding to an amino acid sequence encoded by the GSE (for sense-oriented GSEs). In certain embodiments, such synthetic peptides or oligonucleotides may have the complete sequence encoded by the GSE or present in the GSE, respectively. In certain other embodiments, the peptide or oligonucleotide may have only a portion of the GSE-encoded or GSE sequence. In such latter embodiments, undue experimentation is avoided by the observation that many independent GSE clones corresponding to a particular gene will have the same 5' or 3' terminus, but generally not both. This suggests that many GSEs have one critical endpoint, from which a simple walking experiment will determine the minium size of peptide or oligonucleotide

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necessary to inhibit gene function. For peptides, functional domains as small as 6-8 amino acids have been identified for immunoglobulin binding regions. For antisense oligonucleotides, inhibition of gene function can be mediated by oligonucleotides having sufficient length to hybridize to their corresponding mRNA under physiological conditions. Generally, oligonucleotides having about 12 or more bases will fit this description. Those skilled in the art will recognize that peptide mimetics and modified oligonucleotides are equivalent to the peptides and oligonucleotides according to the invention, since both can be prepared according to standard procedures once the sequence necessary for inhibition is known.

The following examples are provided as means for illustration and are not limiting in nature.

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#### Example 1

Suppression of Gene Function by Expression of a DNA Sequence Encoding a Small Polypeptide

P-glycoprotein, the product of the human mdrl gene, is a multidrug transporter that renders mammalian cells resistant to various lipophilic drugs by pumping these drugs out of cells. See Chen et al., Cell 47: 381 A short segment of mdr1 cDNA, corresponding to exon 7 of the mdr1 gene and encoding a 57 amino-acid long 10 peptide, was inserted by standard procedures into an expression vector (pneoMLV), containing a G418-resistance gene, neo, as a selectable marker. One of the constructs (construct 1) was made in such a way that the mdrlsequence was preceded by the translation 15 initiation codon at the 5' end. At the 3' end, this sequence was adjoined to an open reading frame present in the vector sequence, so that the mdrl-derived sequence formed the N-terminal portion of the resulting fusion In another construct (construct 2), the mdr1derived sequence was preceded by the initiation codon and 20 followed by a stop codon, giving rise to an entirely mdrl-derived 58 amino acid protein (including the initiating methionine). Constructs 1 and 2, as well as a control pSV2neo plasmid, were transfected into human KB-8-5 cells, which display a moderate amount 25 multidrug resistance due to mdr1 expression. Transfectants were selected with G418, and possible in P-glycoprotein function were tested by determining the levels of resistance of individual transfectants to the cytotoxic drugs vinblastine and 30 colchicine.

All ten of the control transfectants obtained with pSV2neo had the same levels of drug resistance as the recipient KB-8-5 cell line. In contrast, twelve of fifteen transfectants obtained with construct 1 had significantly decreased levels of drug resistance (in

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some cases less than one-half the resistance of KB-8-5). Five of eight transfectants obtained with construct 2 also showed a significant decrease in drug resistance relative to control KB-8-5 cells. These results indicate that a short segment of P-glycoprotein, comprising only 4.5% of the protein length, can serve as a genetic suppressor element for P-glycoprotein function. There is no specific function presently associated with this segment of P-glycoprotein, although this segment includes the amino acid residue 185 known to be a determinant of the specificity of P-glycoprotein-drug interactions.

These results demonstrate that short protein fragments without a known function can serve as dominant negative inhibitors of the wild-type protein, suggesting that dominant negative inhibitors may be selected from a library expressing random short fragments of the target protein.

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#### Example 2

# Preparation of an Antiviral Genetic Suppressor Element Library

Lambda phage DNA was fragmented by partial digestion with DNaseI in the presence of Mn ions and NcoI linkers were added to the termini of the resulting fragments by blunt-end ligation after filling in the termini with T4 DNA polymerase and Klenow fragment of DNA polymerase I. Fragments of 350-450 bp size were then isolated after 10 NcoI digestion and agarose gel electrophoresis. fragment mixture was inserted into a plasmid expression vector pKK233-2, which carries a gene for ampicillin resistance and expresses inserted sequences using an IPTG-inducible trc promoter and a specific translation initiation region. 15 See Amann et al., Gene 40: 183 The vector was modified to provide for appropriate termination of translation of the inserted segment by insertion of the DNA CATGGTGACTGAAGCT 3' into the NcoI and HindIII sites 20 of the polylinker. The ligated mixture was used to transform E. coli strain PLK-F' (sensitive to lambda), and a library of approximately 80,000 ampicillinresistant clones was obtained.

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#### Example 3

# <u>Identification and Isolation of</u> <u>Genetic Suppressor Elements</u>

To identify and isolate genetic suppressor elements in a library prepared as described in Example 2, the amplified library was tested for the presence of clones resistant to infection by bacteriophage lambda. library comprising cells transformed with an insert-free pKK233-2 vector was used as a control. 10 induction, aliquots of 106 cells from the amplified library and the control were infected with lambda phage and plated on ampicillin-containing plates. multiplicity of infection was selected so as to allow for the survival of 1%-3% of the infected control bacteria. 15 After the first infection, there was no major difference in the number of surviving cells between the library and the control cells. Plasmid DNA was then extracted from the mixture of approximately 3 x 104 library-derived colonies that survived phage infection, and this DNA was 20 used to transform plasmid-free bacteria. The new library also infected with lambda, and this approximately 10% of the cells in the library were found to be resistant under the conditions of infection that allowed either 3% or 0.02% of the control cells to 25 survive. Plasmids were then isolated from 30 surviving colonies and used individually to transform fresh E. coli cells. After infection with lambda, cells transformed with 28 of 30 selected plasmids showed resistance to lysis.

Parallel studies with the control plasmid showed no increase in the number of resistant colonies after three rounds of selection, indicating that the immunizing clones were specific to the lambda fragment library. Restriction enzyme analysis showed that almost all the plasmids carried NcoI inserts of the expected size (350-450 bp). Based on the observed frequency of the

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resistant cells, approximately 0.3% of the clones in the original fragment library carried GSEs. Only a minority of the suppressing and infected bacterial colonies showed chromosomal integration of lambda sequences after infection, thus indicating that induction of lysogeny is not a major mechanism for protection by the suppressing clones.

Another library was prepared as described in Example 2, except that the insert fragments were of an average size of 600-700 bp. Although this library also contained suppressing clones, their frequency was an order of magnitude lower than in the 350-450 bp library.

These results demonstrate that random fragmentation of DNA homologous to a gene whose function is to be suppressed, followed by library construction and biological selection or screening, is a feasible general approach for the isolation of genetic suppressor elements.

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#### Example 4

### Characterization of Genetic Suppressor Elements

Fifty-one of isolated GSE clones the characterized by DNA sequencing. The sequenced GSEs fell into 11 classes, each class representing a different region of the lambda genome. See Figure 1. suppression efficiency of different classes of GSE was evaluated by the following tests. (a) Plating efficiency of transformed bacteria was measured after lambda 10 infection at high m.o.i. Bacteria transformed with any of the GSE showed either none or a minor (<2-fold) decrease in the plating efficiency. (b) The phage titer was determined by plaque assay using the amounts of phage that produced 109 plaques in control bacteria. 15 plaques were discernible with most types of GSE, though some GSE allowed for the formation of phage plaques at the incidence of 10<sup>-5</sup> to 10<sup>-7</sup>, apparently reflecting the appearance of GSE-insensitive mutant phage. determine the effect of GSEs on prophage induction, 20 representative clones of each class were introduced into a lysogenic strain of E. coli and the phage titer was Eight classes of GSE determined after induction. decreased the titer of the induced phage by three or more orders of magnitude, but GSEs of the other three classes had no effect on prophage induction. 25

### Sense-oriented GSEs

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Eight classes of GSE contained lambda gene fragments inserted in the sense orientation relative to the promoter. The inserted fragments encoded either partial or complete lambda proteins. Translation was initiated from the native initiation codon, from a linker-derived initiation codon that was in-frame with the coding sequence, or from an initiation codon within the fragment. Two or more identical copies were found for eight different GSEs. The most abundant class of GSE

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contained sequences of the gene Ea8.5, previously of unknown function. This class of GSE is described in Example 5.

Two sense-oriented classes of GSE, each represented by a single clone, contained truncated sequences from lambda genes having unknown functions. The first of these encoded the C-terminal 216 of 296 amino acids encoded by the full-length Ea31 gene. The second GSE encoded the C-terminal 88 of 410 amino acids encoded by the full-length <u>Ea47</u> gene. The coding sequence of each GSE was in frame with a translation initiation codon from the linker. These GSEs inhibited infection transformed bacteria by lambda phage, but did not suppress lysogen induction.

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Another GSE class, represented by 2 clones, contained an intact <u>cro</u> gene in sense orientation. Since <u>cro</u> encodes a regulatory protein that suppresses expression of lambda early genes, its GSE effect was expected.

Four classes of GSE encoded truncated forms of phage particle structural proteins. One such GSE encoded the C-terminal 80 of 117 amino acids encoded by the full-length FI gene, as well as the N-terminal 40 amino of 117 amino acids encoded by the full-length FII gene. The FI and FII genes encode lambda head proteins. Another GSE-encoded the C-terminal 159 of 198 amino acids encoded by the FII-length K gene, as well as the N-terminal 121 of 223 amino acids encoded by the full-length I gene. The K and I genes encode lambda tail proteins.

Two other GSE classes encoded truncated forms of tail proteins V or G. The two clones of the first class encoded identical amino acid sequences (the first 145 of 256 amino acids of V protein), as did the two clones of the second class (the first 113 of 140 amino acids of G protein). In neither case, however, could the two clones be siblings, since their nucleotide sequences were non-

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identical. To confirm the protein interference mechanism of action, the V protein GSE was mutated to introduce a nonsense mutation in the fourth codon. Introduction of this mutation abolished GSE activity.

### 5 Antisense-oriented GSEs

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Three classes of GSE contained lambda gene sequences inserted in antisense orientation relative to the promoter. One such clone contained an internal segment of lambda gene A (positions 1050-1470), which is involved in DNA packaging. Two other classes of antisense GSEs were represented by multiple clones. The first class included 12 non-identical clones encoding complementary to the 5' portion of lambda gene Q, which positively regulates lambda late transcription. All GSEs in this class overlapped the naturally-occurring lambda antisense transcript PaQ, which downregulates expression. None of these GSEs initiated more than 70 bp upstream from the normal Pao promoter, although they contained downstream flanking sequences of variable lengths. Seven of these GSEs initiated within a 16 bp region.

Another class of antisense GSEs included four different GSEs that encoded nearly identical antisense RNA sequences corresponding to the 3' end of the lambda gene CII, which regulates lysogeny, and the 5' half of lambda gene O, which encodes a lambda replication protein. As shown in Figure 2, each of these GSEs included the lambda origin of replication, located in the middle of lambda gene O, as well as the naturally-occurring lambda antisense transcript oop, which is complementary to CII and normally suppresses CII. While these GSEs suppress lytic infection, overexpression of oop normally enhances lambda lytic infection. Two truncated variants of these GSEs were prepared to determine whether some portion of the GSEs other than the

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qoo sequences was responsible for the observed suppression. One variant lacked a 93 bp segment encoding most of the oop sequence, but retained the 5' portion of lambda gene O, including the lambda origin replication. The other variant lacked a 158 bp segment 5 of lambda gene O, comprising the lambda origin of replication, but retained the oop sequence and the remainder of the 5' of lambda gene 0. Neither variant suppressed lambda infection, indicating that both the oop and gene O sequences, including the lambda origin of replication, were required for suppression.

#### Interpretation of Results

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The GSEs characterized in these studies act by a variety of mechanisms. First, numerous GSEs encoded truncated versions of lambda structural proteins, and thus apparently act as dominant negative mutants, interfering with phage particle assembly. Second, some GSEs encode antisense RNAs that are complementary to required lambda gene transcripts. Since these GSEs contained naturally-occurring regulatory antisense transcripts of lambda, this demonstrates that random fragment selection of GSEs can be used to identify natural mechanisms of gene suppression. This confirmed by a third type of GSE, which encodes intact regulatory proteins of lambda. Fourth, some GSEs encode antisense RNAs that act by a suppression mechanism that is distinct from the traditional antisense RNA mechanism of simple interference with structural gene function. These GSEs encoding the <a>oop</a>/O gene antisense RNAs likely interfere with DNA replication directly, since they coincide with the lambda origin of replication. interference may result from interference with annealing that might be involved in initiation of lambda DNA replication.

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Both sense-oriented and anti-sense oriented GSEs have shown coincidence or near coincidence of termini among different clones, indicating strict sequence limitations for GSEs. This finding indicates that the random fragment selection strategy provided by the invention is critical for successfully obtaining GSEs. In addition, random fragment selection for GSEs that are larger or smaller than the 300-500 bp fragments used in these studies can reveal additional classes of GSEs. Selection of very short GSEs that can be used to identify antisense oligonucleotide or peptide sequences that can be synthesized chemically to produce bioactive molecules is of particular interest.

#### Example 5

15 Use of Random Fragment Selection of GSEs to Identify Novel Gene Function

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In the characterization studies described in Example 4, the most abundant class of GSE contained sequences of the lambda gene Ea8.5 inserted in sense orientation. The function of the Ea8.5 gene has been previously unknown. It is transcribed in the delayed early stage of lytic infection, but is not required for either lytic or lysogenic infection. The gene encodes a 93 amino acid protein. Some of the GSEs encoded intact Ea8.5 protein, while others encoded truncated proteins, missing 7 to 38 C-terminal or 3 to 10 N-terminal amino acids. suppression effect was abolished by introduction of a frameshift mutation into the second codon, indicating that Ea8.5 protein itself, in intact or truncated form, was required for suppression. Expression of Ea8.5 in a lysogenic strain did not suppress prophage induction, indicating that Ea8.5 acts at an initial stage of infection, such as phage entry into the host cell. Bacteria expressing Ea8.5 were deficient in maltose metabolism, as assayed on McConkey media with maltose,

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but were proficient in galactose, lactose, mannose and arabinose metabolism. The malk-lamB RNA, from one of the three maltose operons of E. coli, was absent in bacteria expressing Ea8.5 protein, indicating that suppression is associated with inhibition of the maltose operon encoding the lamB lambda receptor. GSEs encoding truncated Ea8.5 protein showed an incomplete but still significant suppression of malk-lamb RNA production and maltose metabolism. We have also tested Ea8.5-transformed bacteria for resistance to  $\underline{imm}^{\lambda}\underline{h}^{80}$  a recombinant of phages 10 lambda and  $\phi 80$  that enters the cell through a receptor different from LamB. The transformants were found to be sensitive to this phage, thus confirming the receptormediated mechanism of protection by Ea8.5 GSEs. results indicate that random fragment selection of GSEs can be used to identify a previously unknown gene function.

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#### Example 6

## Development of GSEs for Human Topoisomerase II

Topoisomerase II is a DNA unwinding enzyme that serves as a target for many anti-cancer drugs, including etoposide, doxorubicin and amsacrine. The enzyme normally acts by double-strand DNA cleavage, followed by strand passage and religation of the breaks. Anti-cancer drugs cause trapping of the enzyme in complexes having double-strand breaks held together by the enzyme, thereby leading to lethal damage in replicating cells. Some cell lines that are resistant to anti-cancer drugs that interact with topoisomerase II have decreased expression of this enzyme.

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Random fragment selection of GSEs requires transfer of the expression library into a very large number of 15 recipient cells. Therefore, to prepare a random fragment library containing GSEs for topoisomerase II, the efficient retroviral vector system was Overlapping cDNA clones spanning the entire coding sequence for topoisomerase II were mixed and randomly 20 fragmented into 250-350 bp fragments by DNase, described in Example 2. After ligation with a synthetic adaptor providing translation initiation and termination codons, the fragment mixture was amplified by PCR, using 25 adaptor-derived primers. The amplified mixture was cloned into the LNCX retroviral vector which contains a neo gene. Miller and Rosman, Biotechniqes 7:980-986 (1989). A fragment library containing 20,000 independent clones was obtained, and was used to transfect amphotropic and ecotropic virus-packaging cell lines 30 from NIH 3T3 cells, to effect ping-pong derived replication-mediated amplification of the virus. Kozak and Kabat, J. Virol. 64: 3500-3508 (1990). resulted in a random fragment expression library (RFEL), 35 set of recombinant retroviruses containing

-28-

representative mixture of inserts derived from topoisomerase II gene sequences.

The uniformity of sequence representation in RFEL was monitored as follows. NIH 3T3 cells were infected with virus-containing supernatant, followed 24 hours later by PCR amplification of integrated proviral insert sequences in the presence of [32P] alpha-dNTP. An aliquot of the PCR-amplified mixture was subjected to gel electrophoresis to establish the absence of predominant bands. Another aliquot was used as a probe for a Southern blot of topoisomerase II cDNA digested with several frequently cutting restriction enzymes. A representative sequence mixture was obtained, as evidenced by the absence of a predominant band in the first test, and uniform hybridization to all fragments in the second test.

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RFEL was then used to infect HeLa cells, and the infectants were selected with G418. Colonies of G418resistant cells, having about 50-70 cells each, were then 20 exposed to etoposide at a concentration of 200 ng/ml. Approximately 50 of 10,000 G418-resistant colonies were etoposide resistant, compared to a frequency of <10-4 when insertless retroviruses were used as a control. lines were isolated from etoposide-resistant colonies. 25 Amphotropic and ecotropic packaging cell lines producing RFEL were also selected for etoposide resistance. Virus from etoposide resistant packaging cell lines was used to infect HeLa cells, which were then selected with G418. G418-resistant infectants were challenged with three 30 topoisomerase II-interactive anticancer drugs: etoposide, teniposide and amsacrine. A high proportion of infected were resistant to all three drugs, demonstrating that etoposide selection of mouse packaging cell lines has led to the generation of GSEs active in 35 both human and mouse cells. These infectants were also used to establish cell lines. RFEL-derived inserts were

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recovered from etoposide resistant cell lines by PCR and recloned into LNCX vector. The newly-derived clones were then individually tested for the ability to confer resistance to etoposide upon transvection into HeLa cells, to confirm the GSE activity of the corresponding inserts.

Sequence analysis of 26 different isolated clones revealed that 16 of them were inserted in antisense and 10 in sense orientation. Of the 10 GSEs confirmed so far, 5 were sense and 5 antisense, as shown in Table 1. The sequences of the confirmed GSEs are shown in Figure The sense-oriented inserts of the confirmed GSEs encode 37-99 amino acid long topo II-derived peptides, initiating either from the ATG codon provided by the adaptor, or from an internal ATG codon within the open reading frame of Topoisomerase II, located close to the 5' end of the insert in an appropriate context for translation initiation. Four of the confirmed antisense GSEs come from the 3' third of the cDNA and one from the 5' end of cDNA, including the translation start site. Of the confirmed sense-oriented GSEs, three are derived from the central portion of the protein that includes the active site tyrosine-804 that covalently binds to DNA and the "leucine zipper" region involved in dimerization of Topoisomerase II. One GSE peptide is derived from the region near the N-terminus and another from the region near the C-terminus of the protein; no known functional sites are associated with either segment.

These results establish that the principles for producing GSEs in a prokaryotic system (lambda phage in E. coli) can be extended to a mammalian or human system through the use of an amphotropic retroviral vector system. As in the prokaryotic system, the GSEs obtained act according to multiple mechanisms. In addition, these results show that GSEs produced from one mammalian species can be active in another mammalian species.

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Finally, these results demonstrate that GSEs for topoisomerase II are obtainable using a random fragment expression library. Such GSEs are useful for positive selection of genetically modified mammalian cells, in vitro, and for human gene therapy for rendering bone marrow resistant to anticancer drugs that interact with Topoisomerase II.

	TABLE 1. CONFIRMED TOPOISOMERASE II-DERIVED GSE						
	Clones	Orientation (Sense/Antisen se)	Position in cDNA <sup>a</sup>	Position of peptide <sup>b</sup>			
	2V	Antisense	-18-145				
5	Σ11	Sense	393-605	134-201			
	6	Sense	2352-2532	808-844			
	5	Sense	2511-2734	846-911			
	Σ28	Sense	2603-2931	879-977			
	Σ2	Antisense	3150-3343				
10	Σ20	Antisense	3486-3692				
	39	Antisense	3935-4127				
	12S, ΣVP	Sense	4102-4343	1368-1447			
	Σ8	Antisense	4123-4342				

<sup>&</sup>lt;sup>a</sup> Position in the cDNA sequence of topoisomerase II; residues numbered as in Tsai-Pflugfelder et al., Proc. Natl. Acad. Sci. USA <u>85</u>: 7177-7181 (1988). 15

b Position of the peptide encoded by sense-oriented GSEs in the amino acid sequence of topoisomerase II; translation assumed to initiate from the first ATG codon in the correct open reading frame.

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#### Example 7

Preparation of GSEs that Abolish HLA Antigen Expression Destruction of target cells by cytotoxic 5 lymphocytes requires the presence of major histocompatibility (MHC, HLA) Class I antigens on the target cells for adhesion as well as for triggering of the antigen-specific T cell response. Masking of MHC Class I antigens prevents xenograft rejection of human donor cells in mouse recipients. Thus, target cells can 10 be protected from immune destruction by deliberate reduction of MHC Class I antigens on the surface of such Target cells resistant to destruction by cytotoxic T lymphocytes are useful for a variety of purposes. For example, they can be used as human tumor xenografts that can act as in vivo models for anticancer drug testing in immunocompetent mice. Moreover, some such human tissue culture cells e.g., pancreatic cells can be used for tissue transplantation into unmatched 20 recipient patients.

Expression of MHC Class I antigen on the cell surface requires co-expression of  $\beta_2$ -microglobulin, a highly conserved protein. Thus, both  $\beta_2$ -microglobulin and MHC Class I protein are targets for suppression that leads to resistance to immune destruction. Mice that are deficient in  $\beta_2$ -microglobulin production express little if any MHC Class I antigen on cell surfaces, yet are fertile and apparently healthy, except for the absence of CD4-8+ T cells.

Tissue culture cells that are resistant to immune destruction are prepared by infection with a random fragment expression library for GSEs derived from  $\beta_2$ -microglobulin. The nucleotide sequence for human  $\beta_2$ -microglobulin was described by Gussow et al., J. Immunol.

35 <u>139</u>: 3132-3138 (1987). The complete human  $\beta_2$ -microglobulin cDNA sequence is used to prepare RFEL, as described in Example 6, and infected cells are selected

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for G418 resistance. Infected cells are then selected for resistance to immune destruction by injection into The selected cells are used to immunocompetent mice. isolate the GSEs, as described in Example 6. isolated GSEs are then used to render other cell types resistant to immune destruction. Alternatively, the GSE library is prepared from cDNA of all MHC Class I genes.

#### Example 8

#### Preparation of a Normalized Random Fragment Library for Total Human cDNA

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It is desirable to be able to obtain GSEs for any gene, the suppression of which will have a desirable effect, without requiring special knowledge of the gene structure or function. Examples of such genes include presently unknown tumor suppressor genes or genes that potentiate the cytotoxic action of anticancer drugs.

For isolation of GSEs corresponding to a mammalian gene that is expressed at moderate or high levels, an RFEL of total cDNA can be used. However, for isolation 20 , of GSEs corresponding to genes that are expressed at low levels, the use of normalized cDNA libraries is desirable. Preparation of a normalized cDNA population has been described by Patanjali et al., Proc. Natl. Acad. Sci. USA 88:1943-1947 (1991). Poly(A)+ RNA is extracted from HeLa cells and randomly primed short fragment cDNA is prepared. For purposes of preparing random fragment libraries the procedure is modified by ligating the cDNA to a synthetic adaptor providing translation initiation and termination codons, followed by PCR amplification, as described in Example 6. PCRs are carried out in many separate reactions that are subsequently combined, in order to minimize random over- or underamplification of specific sequences and to increase the yield of the product. The PCR amplified mixture is then size-

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fractionated by gel electrophoresis and 300-500 bp fragments are taken.

The representation of different mRNA sequences is monitored by Southern blot hybridization of the mixture, using a series of six to eight probes corresponding to mRNAs of different abundance. Ribosomal DNA and  $\beta$ -actin are good high abundance probes, while c-myc and dhfr serve as moderate abundance probes and h-ras and k-ras are low abundance probes. Normalization is accomplished by denaturation and reannealing of the PCR-amplified cDNA, using 24, 48, 72, 96 and 120 hour time points for Single and double stranded DNAs are then reannealing. separated from each reannealed mixture by hydroxyapatite chromatography. Single stranded DNA fractions from each time point are PCR-amplified using adaptor derived primers and are analyzed by Southern hybridization for relative abundance of different sequences. under-representation of the most abundant species may be avoided by mixing two library aliquots reannealed at different times at a ratio calculated to give the most uniform representation.

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The normalized cDNA population is then cloned into the LNCX retroviral vector, as described in Example 6. The library is then amplified by ping-pong amplification, using a 1:1 mixture of ecotropic packaging cell line GP+E86 and amphotropic packaging cell line GP+envAm12, Markowitz et al., Virology <u>167</u>: 400-406 (1988), separate batches to produce approximately 10<sup>6</sup> independent clones per batch. We have obtained a yield of amphotropic virus 11-12 days after infection of  $>10^5~{\rm per}$ 10 ml media supernatant from a single 100 mm plate. These amphotropic virus have fairly even representation of different fragments, but at later stages individual virus-producing clones begin to predominate, thereby making sequence representation uneven. Uniform sequence representation is monitored by rapid extraction of DNA

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from cells infected with packaging cell supernatant, followed by linker-specific PCR amplification and Southern hybridization with different probes.

#### Example 9

Use of Normalized Random Fragment
<u>GSE Libraries to Identify Recessive Genes</u>

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In order to obtain GSEs for any particular gene from a library representing total mRNA, it is necessary to be able to generate a very large library. Somatic tissues of higher eukaryotes express mRNA for about 10,000 genes. For an average mRNA length of about 2.5 kb, the total mRNA or cDNA complexity for a given tissue type is about 25,000 kb. We have discovered that in a library prepared from a 6 kb cDNA encoding human topoisomerase II, approximately 1 in 200 clones carried GSEs. This corresponds to a frequency of about one GSE for every 33 clones for every kilobase of library complexity. Thus, for a library of 25,000 kb complexity, the frequency of GSEs for a particular gene is about 1 in 825,000 clones, or approximately 10<sup>-6</sup>.

To be certain that at least one GSE is present for every gene, a library of about 10<sup>7</sup> independent clones is prepared, as described in Example 7. Some twenty 150 mm plates, each having about 50,000 colonies, is sufficient for screening of about 10<sup>6</sup> infected HeLa cells. Thus, 10-15 batches of such twenty plate selections are sufficient for isolation of a GSE for any desired recessive gene for which a negative selection is possible (e.g., 200 ng/ml etoposide for topoisomerase II GSEs). As in Example 6, G418 selection is followed by the negative selection on colonies having 50-70 cells. Depending on the background level of resistance to the negative selection, resistant colonies are processed individually or mixed and subjected to another round of recloning and GSE selection. Inserts of GSEs are then

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used to identify the gene of origin by sequencing and data base comparison, by use as a probe in conventional cDNA cloning, or by use in cDNA cloning by the "anchored PCR" procedure. See Ohara et al., Proc. Natl. Acad. Sci. USA 86: 5673-5677 (1989).

#### Example 10

## Derivation of Anti-HIV-1 Genetic Suppressor Elements

Cloned human immunodeficiency virus-1 (HIV-1) cDNA is digested with DNase I, filled-in, fitted with linkers and size-selected, as described in Example 2. fragment mixture is transferred into a retroviral expression vector that carries a dominant selectable marker and is capable of infecting human T cells. HIV fragment/retroviral vector library is used to infect a human T cell line that is susceptible to killing by HIV-1 and infected cells are selected for the presence of the dominant marker. The mixture of selected cells is exposed to HIV-1, and cytopathic effect is allowed to develop to completion. Surviving cells are expanded and 20 their DNA is isolated. DNA sequences corresponding to HIV-1 fragments are obtained by amplification of isolated cellular DNA using the polymerase chain reaction (PCR) with primers specific for the retroviral vector on either side of the insert.

25 PCR-generated DNA fragments are fitted with linkers and transferred to the same retroviral vector that was used to prepare the first library to create a secondary library. The same T cell line that was used for the initial library is then infected with the secondary library. Infected cells are selected for the presence of 30 the dominant marker and individual selected clones are tested for resistance to killing by HIV-1. clones, containing putative anti-HIV-1 GSEs are used for the isolation of the putative GSE by the polymerase chain reaction, as described above. The candidate GSEs are

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then individually inserted into the same retroviral vector and tested for the ability to protect T-cells against cytopathic effects of HIV-1.

#### Example 11

<u>Derivation of Anti-Tobacco Mosaic Virus</u>
(TMV) Genetic Suppressor Elements

Total TMV cDNA is randomly fragmented as described in Example 2. The fragment mixture is then transferred expression vector containing a phosphotransferase II gene such that the fragment is transcribed, initiating from the cauliflower mosaic virus 35S promoter and terminating in the polyadenylation signal from the nopaline synthase gene. Leaf disks of tobacco are inoculated with Agrobacterium tumefaciens cells containing the expression library. Transformed cells are selected in culture for kanamycin resistance. Kanamycin resistant cells are then exposed in culture to TMV and cytopathic effect is allowed to develop. DNA is collected from transformed TMV-resistant cells and the insert fragments are amplified by the polymerase chain reaction, using primers homologous to the DNA sequences adjacent to the insert site. Amplified sequences are transferred into the same expression vector as used to make the initial library and again used to transform A. tumefaciens. Tobacco leaf disks are once again inoculated with the library in A. tumefaciens and kanamycin-resistant cells are again tested for TMV resistance. Individual TMV-resistant clones are used for the isolation of GSEs by the polymerase chain reaction, as described above. Candidate GSEs are then used to prepare individual GSE expression vectors, which are inserted in A. tumefaciens to inoculate tobacco leaf disks. Inoculated leaf disks are selected for kanamycin resistant cells, from which self-pollinated individual seedlings are produced and tested for TMV resistance.

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#### SEQUENCE LISTING

#### (1) GENERAL INFORMATION:

- (i) APPLICANT: Roninson, Igor B.
  Holzmayer, Tatyana
  Choi, Kyunghee
  Gudkow, Andrei
- (11) TITLE OF INVENTION: METHODS AND APPLICATIONS FOR EFFICIENT GENETIC SUPPRESSOR ELEMENTS
- (iii) NUMBER OF SEQUENCES: 10
- (iv) CORRESPONDENCE ADDRESS:
  - (A) ADDRESSEE: Allegretti & Witcoff, Ltd.
  - (B) STREET: 10 South Wacker Drive
  - (C) CITY: Chicago
  - (D) STATE: Illinois
  - (E) COUNTRY: U.S.A.
  - (F) ZIP: 60606
- (v) COMPUTER READABLE FORM:
  - (A) MEDIUM TYPE: Floppy disk
  - (B) COMPUTER: IBM PC compatible
  - (C) OPERATING SYSTEM: PC-DOS/MS-DOS
  - (D) SOFTWARE: PatentIn Release #1.0, Version #1.25
- (vi) CURRENT APPLICATION DATA:
  - (A) APPLICATION NUMBER: US
  - (B) FILING DATE:
  - (C) CLASSIFICATION:
- (viii) ATTORNEY/AGENT INFORMATION:
  - (A) NAME: Keown, Wayne A.
  - (B) REGISTRATION NUMBER: 33,923
  - (C) REFERENCE/DOCKET NUMBER: 90,654-A
  - (ix) TELECOMMUNICATION INFORMATION:
    - (A) TELEPHONE: 312-715-1000
    - (B) TELEFAX: 312-715-1234
    - (C) TELEX: 910-221-5317

(2) INFORMATION FOR SEQ ID NO:1:	
(i) SEQUENCE CHARACTERISTICS:  (A) LENGTH: 164 base pairs  (B) TYPE: nucleic acid  (C) STRANDEDNESS: single  (D) TOPOLOGY: linear	
(ii) MOLECULE TYPE: cDNA	
(iii) HYPOTHETICAL: NO	
(iv) ANTI-SENSE: YES	
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ACAGGCTGCA ATGGTGACAC TTCCATGGTG ACGGTCGTGA AGGG	164
(2) INFORMATION FOR SEQ ID NO:2:	
(i) SEQUENCE CHARACTERISTICS:  (A) LENGTH: 213 base pairs  (B) TYPE: nucleic acid  (C) STRANDEDNESS: single  (D) TOPOLOGY: linear	
(ii) MOLECULE TYPE: cDNA	
(iii) HYPOTHETICAL: NO	
(iv) ANTI-SENSE: NO	
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TGATGATGAA AAGAAAGTGA CAGGTGGTCG AAATGGCTAT GGAGCCAAAT TGTGTAACAT	120
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-40-
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-40-	
(2) INFORMATION FOR SEQ ID NO:3:	
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(ii) MOLECULE TYPE: cDNA	
(iii) HYPOTHETICAL: NO .	
(iv) ANTI-SENSE: NO	
	•
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GTTGAAGTTT TTATATGATG ACAACCAGCG TGTTGAGCCT GAATGGTACA TTCCTATTAT	180
т	181
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(ii) MOLECULE TYPE: cDNA	
(iii) HYPOTHETICAL: NO	
(iv) ANTI-SENSE: NO	
(xi) SEQUENCE DESCRIPTION: SEQ ID NO:4:	
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TGGGTGGTCC TGCAAAATCC CCAACTTTGA TGTGCGTGAA ATTGTAAATA ACATCAGGCG	120
TTTGATGGAT GGAGAAGAAC CTTTGCCAAT GCTTCCAAGT TACAAGAACT TCAAGGGTAC	180

224

TATTGAAGAA CTGGCTCCAA ATCAATATGT GATTAGTGGT GAAG

(2)	INFORMATION	FOR	SEQ	ID	NO:5:
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- (i) SEQUENCE CHARACTERISTICS:
  - (A) LENGTH: 329 base pairs (B) TYPE: nucleic acid (C) STRANDEDNESS: single

  - (D) TOPOLOGY: linear
- (ii) MOLECULE TYPE: cDNA
- (iii) HYPOTHETICAL: NO
- (iv) ANTI-SENSE: NO

#### (xi) SEQUENCE DESCRIPTION: SEQ ID NO:5:

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TTCCAAGTTA	CAAGAACTTC	AAGGGTACTA	TTGAAGAACT	GGCTCCAAAT	CAATATGTGA	120
TTAGTGGTGA	AGTAGCTATT	CTTAATTCTA	CAACCATTGA	AATCTCAGAG	CTTCCCGTCA	180
GAACATGGAC	CCAGACATAC	AAAGAACAAG	TTCTAGAACC	CATGTTGAAT	GGCACCGAGA	240
AGACACCTCC	TCTCATAACA	GACTATAGGG	AATACCATAC	AGATACCACT	GTGAAATTTG	300
TTGTGAAGAT	GACTGAAGAA	AAACTGGCA				329

## (2) INFORMATION FOR SEQ ID NO:6:

- (i) SEQUENCE CHARACTERISTICS:
  - (A) LENGTH: 194 base pairs
  - (B) TYPE: nucleic acid
  - (C) STRANDEDNESS: single
  - (D) TOPOLOGY: linear
- (ii) MOLECULE TYPE: cDNA
- (iii) HYPOTHETICAL: NO
- (iv) ANTI-SENSE: YES

(ii) MOLECULE TYPE: cDNA

(iii) HYPOTHETICAL: NO

(iv) ANTI-SENSE: YES

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:6:	
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TTTGCTGGGC TTCTTTCCAG GCCTTCACAG GATCCGAATC ATATCCCCTC TGAATCAGAA	120
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AGATAAAGCG AGCC	194
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(ii) MOLECULE TYPE: cDNA	
(iii) HYPOTHETICAL: NO	
(iv) ANTI-SENSE: YES	
(xi) SEQUENCE DESCRIPTION: SEQ ID NO:7:	
CTGCCTCTG CTTTCATTTC TATGGTTATT CGTGGAATGA CTCTTTGACC ACGCGGAGAA	60
GCAAAACTT CAGCCATTTG TGTTTTTTTC CCCTTGGCCT TCCCCCCTTT CCCAGGAAGT	120
CGACTTGTT CATCTTGTTT TTCCTTGGCT TCAACAGCCT CCAATTCTTC AATAAATGTA	180
CCAAGTCTT CTTTCCACAA ATCTGA	206
2) INFORMATION FOR SEQ ID NO:8:	
<ul> <li>(i) SEQUENCE CHARACTERISTICS:</li> <li>(A) LENGTH: 194 base pairs</li> <li>(B) TYPE: nucleic acid</li> <li>(C) STRANDEDNESS: single</li> <li>(D) TOPOLOGY: linear</li> </ul>	

<b>-43-</b>	
(x1) SEQUENCE DESCRIPTION: SEQ ID NO:8:	•
GACACGACAC TTTTCTGTGG TTTCAGTTCT TTGTTACTAA GTTTTGGGGA AGTTTTGGTC	60
TTAGGTGGAC TAGCATCTGA TGGGACAAAA TCTTCATCAT CAGTTTTTTC ATCAAAATCT	120
GAGAAATCTT CATCTGAATC CAAATCCATT GTGAATTTTG TTTTTGTTGC TGCTCTCCGT	180
GGCTCTGTTT CTCG	194
(2) INFORMATION FOR SEQ ID NO:9:	
(i) SEQUENCE CHARACTERISTICS:  (A) LENGTH: 242 base pairs  (B) TYPE: nucleic acid  (C) STRANDEDNESS: single  (D) TOPOLOGY: linear	
(ii) MOLECULE TYPE: cDNA	
(iii) HYPOTHETICAL: NO	
(iv) ANTI-SENSE: NO	
(xi) SEQUENCE DESCRIPTION: SEQ ID NO:9:	
CTGAAACCAC AGAAAAGTGT CGTGTCAGAC CTTGAAGCTG ATGATGTTAA GGGCAGTGTA	60
CCACTGTCTT CAAGCCCTCC TGCTACACAT TTCCCAGATG AAACTGAAAT TACAAACCCA	120
GTTCCTAAAA, AGAATGTGAC AGTGAAGAAG ACAGCAGCAA AAAGTCAGTC TTCCACCTCC	180
ACTACCGGTG CCAAAAAAAG GGCTGCCCCA AAAGGAACTA AAAGGGATCC AGCTTTGAAT	240
TC	242
(2) INFORMATION FOR SEQ ID NO:10:	
<ul> <li>(i) SEQUENCE CHARACTERISTICS:</li> <li>(A) LENGTH: 220 base pairs</li> <li>(B) TYPE: nucleic acid</li> <li>(C) STRANDEDNESS: single</li> <li>(D) TOPOLOGY: linear</li> </ul>	

- (ii) MOLECULE TYPE: cDNA
- (iii) HYPOTHETICAL: NO
- (iv) ANTI-SENSE: YES

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(xi) SEQUENCE DESCRIPTION: SEQ ID NO:1	(xi)	SEQUENCE	DESCRIPTION:	SEO	ID	NO:10
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AATTCAAAGC	TGGATCCCTT	TTAGTTCCTT	TTGGGGCAGC	CCTTTTTTTG	GCACCGGTAG	60
TGGAGGTGGA	AGACTGACTT	TTTGCTGCTG	TCTTCTTCAC	TGTCACATTC	TTTTTAGGAA	120
CTGGGTTTGT	AATTTCAGTT	TCATCTGGGA	AATGTGTAGC	AGGAGGGCTT	GAAGACAGTG	180
GTACACTGCC	CTTAACATCA	TCAGCTTCAA	GGTCTGACAC			220

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#### WE CLAIM:

- A method of obtaining genetic suppressor elements comprising the steps of:
- (a) randomly fragmenting DNA homologous to the gene to be suppressed, to yield DNA fragments;
  - (b) transferring the DNA fragments to an expression vector to yield a library, wherein the expression vector is capable of expressing the DNA fragments in a living cell in which gene suppression can be selected or screened;
  - (c) genetically modifying living cells by introducing the genetic suppressor element library into the living cells;
- (d) isolating or enriching for genetically modified
   living cells containing genetic suppressor elements by selecting or screening for gene suppression, and;
  - (e) obtaining the genetic suppressor element from the genetically modified cells.
- 2. A method of cloning a regulatory gene that 20 suppresses a target gene, the method comprising the steps of:
  - (a) randomly fragmenting DNA homologous to the gene to be suppressed, to yield DNA fragments;
- (b) transferring the DNA fragments to an expression 25 vector to yield a library, wherein the expression vector is capable of expressing the DNA fragments in a living cell in which gene suppression can be selected or screened;
- (c) genetically modifying living cells by 30 introducing the genetic suppressor element library into the living cells;
  - (d) isolating or enriching for genetically modified living cells containing the regulatory gene by selecting or screening for gene suppression, and;

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(e) obtaining the regulatory gene from the genetically modified cells.

- 3. A genetic suppressor element obtained by the method of claim 1.
- 5 4. A method according to claim 1, wherein the genetic suppressor element is a sense oriented genetic suppressor element encoding a peptide.
- 5. A method according to claim 1, wherein the genetic suppressor element is an antisense-oriented 10 genetic suppressor element encoding an antisense RNA.
  - 6. A synthetic peptide having an amino acid sequence corresponding to the amino acid sequence encoded by the GSE produced according to the method of claim 3.
- 7. A synthetic oligonucleotide having a nucleotide 15 sequence corresponding to the nucleotide sequence of the antisense RNA encoded by the GSE produced by claim 4.
- 8. A method of obtaining living cells containing genetic suppressor elements, comprising the step of genetically modifying the living cell by introducing into the cell the genetic suppressor element of claim 2.
  - 9. A method of obtaining living cells containing genetic suppressor elements comprising the steps of:
  - (a) genetically modifying the living cells by introducing a library comprising randomly fragmented DNA sequences, wherein the DNA sequences are homologous to a portion of the gene to be suppressed, and wherein the library is capable of expressing the DNA sequences in the living cell, and;

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- (b) isolating or enriching for genetically modified living cells containing genetic suppressor elements by screening or selecting for gene suppression.
- 10. A genetically modified living cell, containing 5 genetic suppressor elements, obtained according to the method of claim 7.
  - 11. A genetically modified living cell, containing genetic suppressor elements, obtained according to the method of claim 8.
- 12. A method for producing GSEs corresponding to recessive genes that, when inactivated by GSEs, confer a selectable or screenable phenotype upon a cell having such inactive genes, the method comprising the steps of:
- (a) obtaining a total cDNA population from the 15 cells;
  - (b) randomly fragmenting the cDNA fragments to produce random cDNA fragments;
  - (c) ligating the random cDNA fragments to synthetic adaptors to produce amplifiable random cDNA fragments;
- (d) cloning the amplified mixture of random cDNA fragments into a suitable expression vector having a selectable marker to produce a random fragment expression library;
- (e) transferring the random fragment expression 25 library into appropriate target cells;
  - (f) selecting the target cells for the presence of the selectable marker present in the expression vector to obtain target cells having the selectable marker;
- (g) selecting or screening the target cells having the selectable marker for the selectable or screenable phenotype conferred upon the cells by inactivation of a recessive gene by a GSE;

- (h) recovering the GSE from the target cell having the selectable or screenable phenotype.
- 13. A method for producing GSEs corresponding to recessive genes that, when inactivated by GSEs, confer a selectable or screenable phenotype upon a cell having such inactive genes, the method comprising the steps of:
  - (a) obtaining total genomic DNA from the cells;
- (b) randomly fragmenting the genomic DNA to produce random genomic DNA fragments;
- (c) ligating the random genomic DNA fragments to synthetic adaptors to produce amplifiable random genomic DNA fragments;

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- (d) cloning the amplified mixture of random genomic DNA fragments into a suitable expression vector having a selectable marker to produce a random fragment expression library; (e) transferring the random fragment expression library into appropriate target cells;
- (f) selecting the target cells for the presence of the selectable marker present in the expression vector to obtain target cells having the selectable marker;
- (g) selecting or screening the target cells having the selectable marker for the selectable or screenable phenotype conferred upon the cells by inactivation of a recessive gene by a GSE;
- 25 (h) recovering the GSE from the target cell having the selectable or screenable phenotype.
  - 14. A method according to claim 12, wherein the target cells are mammalian cells.
- 15. A method according to claim 12, wherein the 30 target cells are bacterial cells.
  - 16. A method according to claim 12, wherein the target cells are plant cells.

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- 17. A method according to claim 12, wherein the cDNA population is a normalized cDNA population.
- 18. A method according to claim 14, wherein the CDNA population is a normalized cDNA population.
- 5 19. A method according to claim 15, wherein the cDNA population is a normalized cDNA population.
  - 20. A method according to claim 16, wherein the cDNA population is a normalized cDNA population.
- 21. A method according to claim 12, wherein the GSE 10 is a sense-oriented GSE encoding a peptide.
  - 22. A method according to claim 12, wherein the GSE is an antisense-oriented GSE encoding an antisense RNA.
  - 23. A method according to claim 13, wherein the target cells are mammalian cells.
- 24. A method according to claim 13, wherein the target cells are bacterial cells.
  - 25. A method according to claim 13, wherein the target cells are plant cells.
- 26. A synthetic peptide having an amino acid sequence that corresponds to the amino acid sequence of the peptide encoded by the GSE produced by the method of claim 21.
- 27. A synthetic oligonucleotide having a nucleotide sequence that corresponds to the nucleotide sequence of the antisense RNA encoded by the GSE produced by the method of claim 22.

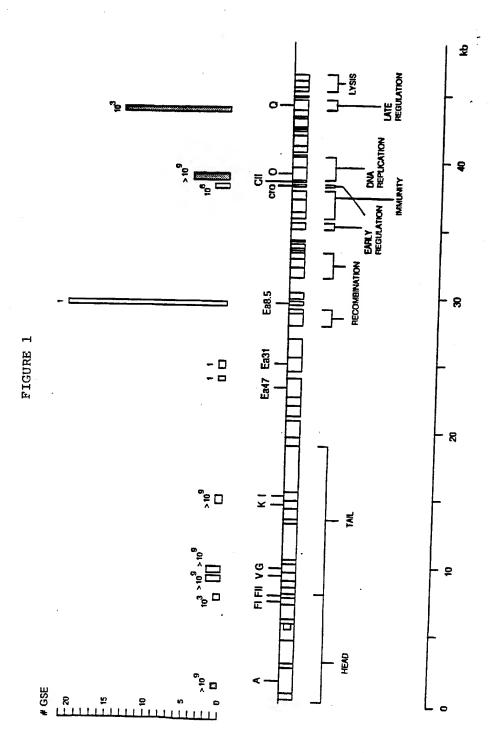
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- 28. The method according to claim 1, wherein the genetic suppressor element suppresses Topoisomerase II expression.
- 29. The method according to claim 1, wherein the genetic suppressor element suppresses  $\beta_2$ -microglobulin expression.
  - 30. The method according to claim 1, wherein the genetic suppressor element suppresses MHC Class I protein expression.
- 31. The method according to claim 1, wherein the genetic suppressor element suppresses HLA Class I protein expression.
  - 32. A genetic suppressor element obtained by the method of claim 28.
- 33. The genetic suppressor element according to claim 32, wherein the genetic suppressor element is a sense-oriented genetic suppressor element encoding a peptide.
- 34. The method according to claim 28, wherein the 20 genetic suppressor element has a nucleotide sequence selected from the group consisting of the nucleotide sequences shown in Figure 3.
  - 35. The genetic suppressor element according to claim 32, wherein the genetic suppressor element is an antisense-oriented genetic suppressor element encoding an antisense RNA.

- 36. A synthetic peptide having an amino acid sequence that corresponds to the amino acid sequence of the peptide encoded by the genetic suppressor element produced by the method of claim 33.
- 5 37. A synthetic oligonucleotide having a nucleotide sequence that corresponds to the nucleotide sequence of the antisense RNA encoded by the genetic suppressor element produced by the method of claim 35.
- 38. A genetic suppressor element obtained by the 10 method of claim 29.
  - 39. The genetic suppressor element according to claim 38, wherein the genetic suppressor element is a sense-oriented genetic suppressor element encoding a peptide.
- 15 40. The genetic suppressor element according to claim 38, wherein the genetic suppressor element is an antisense-oriented genetic suppressor element encoding an antisense RNA.
- 41. A synthetic peptide having an amino acid sequence that corresponds to the amino acid sequence of the peptide encoded by the genetic suppressor element produced by the method of claim 39.
- 42. A synthetic oligonucleotide having a nucleotide sequence that corresponds to the nucleotide sequence of the antisense RNA encoded by the genetic suppressor element produced by the method of claim 40.
  - 43. A genetic suppressor element obtained by the method of claim 30.

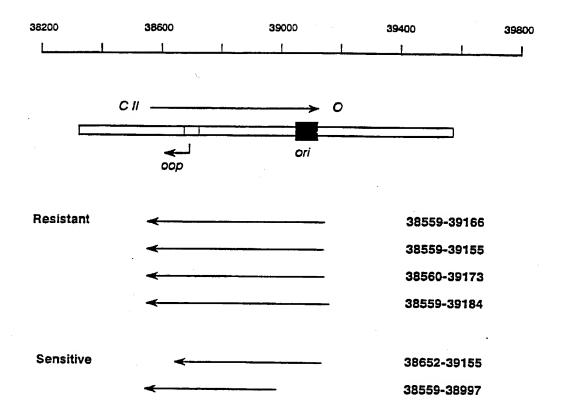
- 44. The genetic suppressor element according to claim 43, wherein the genetic suppressor element is a sense-oriented genetic suppressor element encoding a peptide.
- 5 45. The genetic suppressor element according to claim 43, wherein the genetic suppressor element is an antisense-oriented genetic suppressor element encoding an antisense RNA.
- 46. A synthetic peptide having an amino acid sequence that corresponds to the amino acid sequence of the peptide encoded by the genetic suppressor element produced by the method of claim 44.
- 47. A synthetic oligonucleotide having a nucleotide sequence that corresponds to the nucleotide sequence of the antisense RNA encoded by the genetic suppressor element produced by the method of claim 45.
  - 48. A genetic suppressor element obtained by the method of claim 31.
- 49. The genetic suppressor element according to claim 48, wherein the genetic suppressor element is a sense-oriented genetic suppressor element encoding a peptide.
- 50. The genetic suppressor element according to claim 48, wherein the genetic suppressor element is an antisense-oriented genetic suppressor element encoding an antisense RNA.

51. A synthetic peptide having an amino acid sequence that corresponds to the amino acid sequence of the peptide encoded by the genetic suppressor element produced by the method of claim 49.



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## FIGURE 2



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## FIGURE 3

A

GTGTCTGGGC	GGAGCAAAAT	ATGTTCCAAT	TGTGTTTTCT	TTTGATAGAT	TCTTTCAACA	60
GACAGTCTTT	TCTTAGCATC	TTCATTTTTC	TTTATTTTGT	TGACTTGCAT	ATTTTCATTT	120
ACAGGCTGCA	ATGGTGACAC	TTCCATGGTG	ACGGTCGTGA	AGGG		164
			В			
TGAAAAGATG	TATGTCCCAG	CTCTCATATT	TGGACAGCTC	CTAACTTCTA	GTAACTATGA	60
TGATGATGAA	AAGAAAGTGA	CAGGTGGTCG	AAATGGCTAT	GGAGCCAAAT	TGTGTAACAT	120
ATTCAGTACC	AAATTTACTG	TGGAAACAGC	CAGTAGAGAA	TACAAGAAAA	TGTTCAAACA	180
GACATGGATG	GATAATATGG	GAAGAGCTGG	TGA			213
					·	
			С			
GCCCATTGGT	CAGTTTGGTA	CCAGGCTACA	TGGTGGCAAG	GATTCTGCTA	GTCCACGATA	60
CATCTTTAGA	ATGCTCAGCT	CTTTGGCTCG	ATTGTTATTT	CCACCAAAAG	ATGATCACAC	120
GTTGAAGTTT	TTATATGATG	ACAACCAGCG	TGTTGAGCCT	GAATGGTACA	TTCCTATTAT	180
т					•	181
			D			
TGAATGGTAC	ATTCCTATTA	TTCCCATGGT	GCTGATAAAT	GGTGCTGAAG	GAATCGGTAC	60
TGGGTGGTCC	TGCAAAATCC	CCAACTTTGA	TGTGCGTGAA	ATTGTAAATA	ACATCAGGCG	120
TTTGATGGAT	GGAGAAGAAC	CTTTGCCAAT	GCTTCCAAGT	TACAAGAACT	TCAAGGGTAC	180
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GGCTCTGTTT CTCG

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## FIGURE 3 (Cont'd)

E

TGCGTGAAAT	TGTAAATAAC	ATCAGGCGTT	TGATGGATGG	AGAAGAACCI	TTGCCAATGC	60
TTCCAAGTTA	CAAGAACTTC	AAGGGTACTA	TTGAAGAACT	GGCTCCAAAT	CAATATGTGA	120
TTAGTGGTGA	AGTAGCTATT	CTTAATTCTA	CAACCATTGA	AATCTCAGAG	CTTCCCGTCA	180
GAACATGGAC	CCAGACATAC	AAAGAACAAG	TTCTAGAACC	CATGTTGAAT	GGCACCGAGA	240
AGACACCTCC	TCTCATAACA	GACTATAGGG	AATACCATAC	AGATACCACT	GTGAAÂTTTG	300
TTGTGAAGAT	GACTGAAGAA	AAACTGGCA				329
			F			
CACTCTTTTC	AGTTTCCTTT	TCGTTGTCAC	TCTCTTCATT	TTCTTCTTCA	TCTGGAACCT	60
TTTGCTGGGC	TTCTTTCCAG	GCCTTCACAG	GATCCGAATC	ATATCCCCTC	TGAATCAGAA	120
CTTTAATTAA	TTCTTTCTTA	GGCTTATTTT	CAATGATTAT	TTTGCCATCT	ATTTTCTCTA	180
AGATAAAGCG	AGCC					194
			· G			
TCTGCCTCTG	CTTTCATTTC	TATGGTTATT	CGTGGAATGA	CTCTTTGACC	ACGCGGAGAA	60
GGCAAAACTT	CAGCCATTTG	TGTTTTTTC	CCCTTGGCCT	TCCCCCCTTT	CCCAGGAAGT	120
CCGACTTGTT	CATCTTGTTT	TTCCTTGGCT	TCAACAGCCT	CCAATTCTTC	AATAAATGTA	180
GCCAAGTCTT	CTTTCCACAA	ATCTGA				206
			н			
GACACGACAC	TTTTCTGTGG	TTTCAGTTCT	TTGTTACTAA	GTTTTGGGGA	AGTTTTGGTC	60
TTAGGTGGAC	TAGCATCTGA	TGGGACAAAA	TCTTCATCAT	CAGTTTTTTC	ATCAAAATCT	120
GAGAAATCTT	CATCTGAATC	CAAATCCATT	GTGAATTTTG	TTTTTGTTGC	TGCTCTCCGT	180

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## FIGURE 3 (Cont'd)

I

CTGAAACCAC	AGAAAAGTGT	CGTGTCAGAC	CTTGAAGCTG	ATGATGTTAA	GGGCAGTGTA	60
CCACTGTCTT	CAAGCCCTCC	TGCTACACAT	TTCCCAGATG	AAACTGAAAT	TACAAACCCA	120
GTTCCTAAAA	AGAATGTGAC	AGTGAAGAAG	ACAGCAGCAA	AAAGTCAGTC	TTCCACCTCC	180
ACTACCGGTG	CCAAAAAAAG	GGCTGCCCCA	AAAGGAACTA	AAAGGGATCC	AGCTTTGAAT	240
TC					•	242
			J			
AATTCAAAGC	TGGATCCCTT	TTAGTTCCTT	TTGGGGCAGC	CCTTTTTTTG	GCACCGGTAG	60
TGGAGGTGGA	AGACTGACTT	TTTGCTGCTG	TCTTCTTCAC	TGTCACATTC	TTTTTAGGAA	120
CTGGGTTTGT	AATTTCAGTT	TCATCTGGGA	AATGTGTAGC	AGGAGGGCTT	GAAGACAGTG	180
GTACACTGCC	CTTAACATCA	TCAGCTTCAA	GGTCTGACAC			220

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I. CLASSIFICATION OF SU		symbols apply, indicate all)*	
According to International Par Int.C1.5 C 12 N 1/21	cent Classification (IPC) or to both National C 12 N 15/00 C C 12 N 5/10	Classification and IPC 12 N 15/11 C 07 K 7	7/10
II. FIELDS SEARCHED			
	Minimum Docui	mentation Searched <sup>7</sup>	
Classification System		Classification Symbols	
Int.Cl.5	C 12 N	<u>;</u>	
	Documentation Searched other to the Extent that such Document	er than Minimum Documentation s are Included in the Fields Searched <sup>8</sup>	
III. DOCUMENTS CONSIDE			T
Category ° Citation of	Document, 11 with indication, where approp	riate, of the relevant passages 12	Relevant to Claim No.13
(US) iden inhil left left	nce, vol. 252, 5 April 1, L.P. Deiss et al.: "A tify thioredoxin as a meditory signal", pages 11-hand column, lines 16-2-nn, line 5	genetic tool used to ediator of a growth 17-252, see page 117, 20; page 118,	1-3,5,8 -12,14, 22,23
P,Y		-/-	4,6,7, 13,15- 21,24- 27
considered to be of par "E" earlier document but p filling date "L" document which may the which is cited to establicitation or other special "O" document referring to other means "P" document published prilater than the priority of the special section of the special section of the special section of the	general state of the art which is not ticular relevance  ablished on or after the international arrow doubts on priority claim(s) or shall be able to another reason (as specified) an oral disclosure, use, exhibition or or to the international filling date but	"T" later document published after the internor priority date and not in conflict with the cited to understand the principle or theor invention  "X" document of particular relevance, the class cannot be considered novel or cannot be involve an inventive step  "Y" document of particular relevance, the class cannot be considered to involve an inventive and ocument is combined with one or more of ments, such combination being obvious to in the art.  "&" document member of the same patent far	he application but y underlying the imed invention considered to invention tive step when the other such docu- o a person skilled
IV. CERTIFICATION			
Date of the Actual Completion 24-02		Date of Mailing of this International Sea. 18. 03. 97	
International Searching Author	y PEAN PATENT OFFICE	Signature of Authorized Officer Danie	elle van der Haes

III. DOCUME	NTS CONSIDERED TO BE RELEVANT (CONTINUED FROM THE SECOND SHEET)	Belinner or Claim No. 1
Category °	Citation of Document, with indication, where appropriate, of the relevant passages	Relevant to Claim No.
	•	1.16.01
Y	Biochemical and Biophysical Research Communications, vol. 169, no. 2, 15 June 1990, Academic Press, Inc., Duluth, MN (US) G. Sczakiel et al.: "Specific inhibition of human immunodeficiency virus type 1 replication by RNA transcribed in sense and antisense orientation from the 5'-leader/gag region", pages 643-651, see page 643, lines 17-29	1-16,21 -27
Y	Nature, vol. 335, 29 September 1988, Macmillan Journals Ltd, London (GB) A.D. Friedman et al.: "Expression of a truncated viral trans-activator selectively impedes lytic infection by its cognate virus", pages 452-454, see first column (cited in the application)	1-16,21 -27
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ν,		

# ANNEX TO THE INTERNATIONAL SEARCH REPORT ON INTERNATIONAL PATENT APPLICATION NO.

US 9107492 SA 53897

This annex lists the patent family members relating to the patent documents cited in the above-mentioned international search report. The members are as contained in the European Patent Office EDP file on 11/03/92

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Patent document cited in search report	Publication date	Patent family member(s)		Publication date
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